

# FACTS

## What Does JAG Capital Management, LLC (“JAGCM”) Do with Your Personal Information?

<b>Why?</b>	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
<b>What?</b>	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> <li>▪ Social Security number, date of birth, and contact information</li> <li>▪ Assets, income, account numbers and account balances</li> <li>▪ Employment information</li> <li>▪ Personal goals and plans</li> </ul> <p>When you are <b>no longer</b> our customer, we continue to share your information as described in this notice.</p>
<b>How?</b>	All financial companies need to share client personal information to run their everyday business. In the section below, we list the reasons financial companies can share their client personal information; the reasons JAGCM chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does JAGCM share?	Can you limit this sharing?
<b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
<b>For our marketing purposes—</b> to offer our products and services to you	No	N/A
<b>For joint marketing with other financial companies</b>	No	N/A
<b>For our affiliates’ everyday business purposes—</b> information about your transactions and experiences	N/A	N/A
<b>For our affiliates’ everyday business purposes—</b> information about your creditworthiness	N/A	N/A
<b>For nonaffiliates to market to you</b>	No	N/A

<b>Questions?</b>	Call 314.997.1277 or go to <a href="http://www.jagcapm.com">www.jagcapm.com</a>
-------------------	---

## Who we are

<b>Who is providing this notice?</b>	JAG Capital Management, LLC (JAGCM)
--------------------------------------	-------------------------------------

## What we do

<b>How does JAGCM protect my personal information?</b>	<p>To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include physical, electronic, and procedural safeguards.</p> <p>JAGCM restricts access to your personal information to those employees and authorized agents who need to know that information.</p>
<b>How does JAGCM collect my personal information?</b>	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> <li>■ engage in discussions or establish a relationship with us.</li> <li>■ open accounts with third-party custodians with our assistance.</li> </ul>
<b>Why can't I limit all sharing?</b>	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> <li>■ sharing for affiliates' everyday business purposes — information about your creditworthiness</li> <li>■ affiliates from using your information to market to you</li> <li>■ sharing for nonaffiliates to market to you</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing.</p>

## Definitions

<b>Affiliates</b>	Companies related by common ownership or control. They can be financial and nonfinancial companies.
<b>Nonaffiliates</b>	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> <li>■ Examples include third-party custodians, providers of software systems for reporting purposes, compliance consultants, etc.</li> </ul>
<b>Joint marketing</b>	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> <li>■ JAGCM does not share personal information for marketing purposes.</li> </ul>

## Other important information

With the aging of the US population, financial exploitation of seniors is a serious and growing problem. Regulators strongly encourage you to provide us with a "trusted contact", someone that you authorize us reach out to in the event we ever suspect you are the victim of exploitation. We could also reach out to this trusted person if we suspect you may be suffering from dementia or other forms of diminished capacity. If you have not already provided us with a trusted contact, please contact us for discussion in this regard.